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10 September 2013

## **AUDIT COMMITTEE**

Thursday 19 September 2013  
2 pm  
Warspite Room, Council House

### **Members:**

Councillor Wheeler, Chair  
Councillor Dr. Mahony, Vice Chair  
Councillors Murphy, Stark and Stevens

### **Independent Members:**

Mrs Badger, Mr Clarke and Mr Stewart.

Members are invited to attend the above meeting to consider the items of business overleaf.

**Tracey Lee**  
Chief Executive

# **AUDIT COMMITTEE**

## **AGENDA**

### **PART I – PUBLIC MEETING**

#### **1. APOLOGIES**

To receive apologies for non-attendance submitted by Committee Members.

#### **2. DECLARATIONS OF INTEREST**

Members will be asked to make any declarations of interest in respect of items on this Agenda.

#### **3. MINUTES (Pages 1 - 8)**

To confirm the minutes of the meeting held on 13 June 2013.

#### **4. CHAIR'S URGENT BUSINESS**

To receive reports on business which, in the opinion of the Chair, should be brought forward for urgent consideration.

#### **5. ANNUAL REPORT TO THOSE CHARGED WITH GOVERNANCE - INCLUDING THE AUDIT OPINION - TO FOLLOW**

The Council's external auditor, Grant Thornton, will submit a report.

#### **6. AUDIT PLAN 2012/13 PROGRESS REPORT - TO FOLLOW**

The Council's external auditor, Grant Thornton, will submit a report.

#### **7. VALUE FOR MONEY REPORT - FINANCIAL RESILIENCE - TO FOLLOW**

The Council's external auditor, Grant Thornton, will provide the Committee with an update on the Value for Money Report – Financial Resilience.

#### **8. AUDIT FEE LETTER 2013/14 (Pages 9 - 12)**

The Council's external auditor, Grant Thornton, will provide a verbal update on the progress of the Annual Audit Fee letter and the audit work to be undertaken during 2013 - 2014 and the associated fee.

#### **9. STATEMENT OF ACCOUNTS 2012/13 (Pages 13 - 136)**

The Strategic Finance Manager will provide the Committee with the Statement of Accounts 2012/13.

**10. STRATEGIC RISK AND OPPORTUNITY REGISTER MONITORING REPORT (Pages 137 - 152)**

The Head of Corporate Risk and Insurance will submit a report on the Strategic Risk and Opportunity Register Monitoring.

**11. INTERNAL AUDIT - PROGRESS REPORT (Pages 153 - 178)**

The Committee will be provided with a report on Internal Audit – progress report.

**12. INTERNAL AUDIT - FOLLOW UP WORK (Pages 179 - 188)**

The Committee will be provided with a report on Internal Audit – follow up work.

**13. REVIEW OF ROLLING WORKPLAN (Pages 189 - 192)**

The Committee will review the rolling workplan.

**14. ICT REPORT (Pages 193 - 194)**

The Committee will be provided with an update on ICT services.

**15. DATE AND VENUE OF FUTURE AUDIT MEETINGS**

The following Audit Committee meetings have been scheduled for 2pm in the Council House:

- Thursday 12 December 2013
- Thursday 13 March 2014

A Sub Committee has been scheduled for 23 January 2014 at 2pm.

Treasury Management Training has been scheduled for Monday 9 December 2013, 1.30 – 3pm.

**16. EXEMPT BUSINESS**

To consider passing a resolution under Section 100A(4) of the Local Government Act 1972 to exclude the press and public from the meeting for the following item(s) of business on the grounds that it (they) involve the likely disclosure of exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Act, as amended by the Freedom of Information Act 2000.

## **PART II (PRIVATE MEETING)**

### **AGENDA**

#### **MEMBERS OF THE PUBLIC TO NOTE**

that under the law, the Panel is entitled to consider certain items in private. Members of the public will be asked to leave the meeting when such items are discussed.

#### **17. IM POSITION STATEMENT (E3)**

**(Pages 195 - 208)**

The Head of Corporate Risk and Insurance will submit the IM Position Statement.